

LIST OF ART CITED BY APPLICANT
(Use several sheets if necessary)APPLICANT
Barry L. PhillipsFILING DATE
January 3, 2001

GROUP

U.S. PTO
09/753944
01/03/01

U. S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	ISSUE DATE	NAME OF INVENTOR	CLASS	SUBCLASS	FILING DATE (if appropriate)
DLJ	3,930,370	Jan. 6, 1976	Markowski, et al.	—	—	June 11, 1974
DLJ	3,957,418	May 18, 1976	Sata	—	—	Apr. 28, 1975
DLJ	3,974,646	Aug. 17, 1976	Markowski, et al.	—	—	May 19, 1975
DLJ	4,344,479	Aug. 17, 1982	Bailey	—	—	Jul. 28, 1978
DLJ	4,850,537	Jul. 25, 1989	Gourdine	—	—	Dec. 8, 1986
DLJ	5,181,475	Jan. 26, 1993	Breen, et al.	—	—	Feb. 3, 1992
DLJ	5,236,354	Aug. 17, 1993	Goldbach, et al.	—	—	Mar. 18, 1991
DLJ	5,456,596	Oct. 10, 1995	Gourdine	—	—	Feb. 8, 1994
DLJ	5,575,153	Nov. 19, 1996	Ito, et al.	—	—	Mar. 30, 1994
DLJ	5,836,282	Nov. 17, 1998	Mallen	—	—	Dec. 27, 1996
DLJ	5,979,395	Nov. 9, 1999	Mallent, et al.	—	—	Apr. 30, 1998
DLJ	6,026,644	Feb. 22, 2000	Ito, et al.	—	—	Aug. 21, 1996

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO

OTHER ART

(Include name of author (in CAPITAL LETTERS), title of article, title of item (book, magazine, journal, serial, symposium, catalog, etc), date, page(s), volume issue number(s), publisher, city and/or country where published)

DLJ	DB Riley Technical Publication entitled, "Adapting the German Coal-Fired SCR Experience of the U.S.", by Thomas F. Robinson and Paul E. Croteau, DB Riley Environmental Systems, Worcester, Massachusetts, presented at POWER-GEN International '97, December 9-11, 1997, Dallas, Texas ✓
DLJ	Deutsche Babcock, DB Riley, Inc. publication entitled, "Total Support for Power Generating Systems", ©1996 DB Riley, Inc. ✓
DLJ	Deutsche Babcock, DB Riley, Inc. brochure entitled, "Selective Catalytic Reduction", ©1996 DB Riley, Inc. ✓
DLJ	Deutsche Babcock, DB Riley, Inc. 4 page paper entitled, "SCR Overview", dated February, 1998 ✓

EXAMINER

Paul Arni

DATE CONSIDERED

4/17/02

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	
<div style="text-align:center;">LIST OF ART CITED BY APPLICANT <small>(Use several sheets if necessary)</small></div> <div style="position:absolute; left:-150px; top:-100px; transform: rotate(-90deg); font-size: small;">RECEIVED APR 27 2001 TC 1700 MAIL ROOM</div>		ATTY. DOCKET NO. / CUST. NO. BASI.I2023	
		APPLICANT Barry L. Phillips	
		FILING DATE January 3, 2001	SERIAL NO. 097153944

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U. S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	ISSUE DATE	NAME OF INVENTOR	CLASS	SUBCLASS	FILING DATE <small>(if appropriate)</small>
JJA		4,619,608	Oct. 28, 1986	McIntyre, et al.			Oct. 18, 1985

FOREIGN PATENT DOCUMENTS								
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO

OTHER ART	
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EXAMINER JJA [Signature]	DATE CONSIDERED 4/15/02
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